## MERCHANT & GOULD P.C.

# United States Patent Application DECLARATION

As a below named inventor, we hereby declare that: our residences, post office addresses and citizenships are as stated below next to our names; that

We verily believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled COUNTERACTING GAS INGESTION IN A HYDRODYNAMIC BEARING SPINDLE MOTOR, the specification of which is attached hereto **OR** [filed on and identified in the U.S. Patent and Trademark Office as Serial No. ].

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (described hereinbelow).

We hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

a. 🔀	no such applications have been filed.
b. 🔲	such applications have been filed as follows:

	FOREIGN APPLICATION(S), IF ANY	, CLAIMING PRIORITY UNDER	35 USC § 119
COUNTRY	APPLICATION NUMBER  ALL FOREIGN APPLICATION(S), IF ANY,	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

We hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

We hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/446,806	12 February 2003

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Full Name	Family Name	First Given Name	<del></del>	Second Given Name
2	Of Inventor	Martin	Steve		Robert
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Louisville	Colorado		USA
1	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	1005 Honeysuckle Ln.	Louisville		Colorado 80027/USA
Signa	ature of Inventor 2	oi: Ita Marts		Date:	7/24/03
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	Herbst	James		Arthur
	ļ				
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Longmont	Colorado		USA
2	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	2531 Stratford Ln.	Longmont		Colorado 80503/USA
Signature of Inventor 202: Junes Artin Jant			123/03		
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	Heiney	Eric		Neal
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Loveland	Colorado		USA
3	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	6204 Kelly Beth Ct.	Loveland		Colorado 80537/USA
Signature of Inventor 203:  Date: /23/03					

## § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Steve Robert Martin, J	Steve Robert Martin, James Arthur Herbst, Eric Neal Heiney		
Serial No.:		Examiner:		
Filed:	Herewith	Group Art Unit:		
Title:	COUNTERACTING O MOTOR	GAS INGESTION IN A HYDRODYNAM	MIC BEARING SPINDLE	
Docket:	STL11269/40046.024	SUSU1		

# POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST (REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified application, all powers of attorney previously given are hereby revoked and the following practitioners/patent agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

Shawn B. Dempster, Registration No. 34,321	Raghunath S. Minisandram, Registration No. 38,683
Derek J. Berger, Registration No. 45,401	Mitchell K. McCarthy, Registration No. 38,794
Kirk A. Cesari, Registration No. 47,479	Carol I. Bordas, Registration No. 37,284
Paul T. Dietz, Registration No. 38,858	Jennifer M. Buenzow, Registration No. 50,124
David K. Lucente, Registration No. 36,202	Joseph F. Villella, Jr., Registration No. 30,599
Jesus Del Castillo, Registration No. 51,604	Brendan Hanley, Registration No. 52,429

### And members of the firm of Merchant & Gould P.C.

AT MA TOCO	D 11 16050
Ali, M. Jeffer	Reg. No. 46,359
Altera, Allan G.	Reg. No. 40,274
Anderson, Gregg I.	Reg. No. 28,828
Batzli, Brian H.	Reg. No. 32,960
Beard, John L.	Reg. No. 27,612
Berns, John M.	Reg. No. 43,496
Blackburn, Murrell W.	Reg. No. 50,881
Bortolotti, Rebecca	Reg. No. 51,488
Branch, John W.	Reg. No. 41,633
Brown, Jeffrey C.	Reg. No. 41,643
Bruess, Steven C.	Reg. No. 34,130
Burke, John E.	Reg. No. 35,836
Byrne, Linda M.	Reg. No. 32,404
Campbell, Keith	Reg. No. 46,597
Clifford, John A.	Reg. No. 30,247
Cook, Jeffrey	Reg. No. 48,649
Daignault, Ronald A.	Reg. No. 25,968
Daley, Dennis R.	Reg. No. 34,994
Daley, William J.	Reg. No. 52,471
Daulton, Julie R.	Reg. No. 36,414
DeVries Smith, Katherine M.	Reg. No. 42,157
DiPietro, Mark J.	Reg. No. 28,707
Doscotch, Matthew A.	Reg. No. 48,957
Edell, Robert T.	Reg. No. 20,187
Epp Ryan, Sandra	Reg. No. 39,667
Fitzsimmons, Karen A.	Reg. No. 50,470
Gadiano, Christina M.	Reg. No. 37,628
Gaffney, Matthew M.	Reg. No. 46,717
Goggin, Matthew J.	Reg. No. 44,125
Golla, Charles E.	Reg. No. 26,896
Gorman, Alan G.	Reg. No. 38,472
Gotfredson, Garen J.	Reg. No. 44,722
Gould, John D.	Reg. No. 18,223
Gregson, Richard	Reg. No. 41,804
Gresens, John J.	Reg. No. 33,112
Haack, John L.	Reg. No. 36,154
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Hamre, Curtis B. Hennings, Mark Hertzberg, Brett A. Hillson, Randall A. Hope, Leonard J. Hornsby, III, Alton Jacobson, Charles A. Johns, Nicholas P. Johnston, Scott W. Kalinsky, Robert A. Kelly, Zachary J. Kettelberger, Denise Keys, Jeramie J.	Reg. No. 29,165 Reg. No. 48,982 Reg. No. 42,660 Reg. No. 31,838 Reg. No. 44,774 Reg. No. 53,061 Reg. No. 53,061 Reg. No. 39,721 Reg. No. 50,471 Reg. No. 53,108 Reg. No. 33,924 Reg. No. 33,924 Reg. No. 42,724
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		Sebald, Circgory A.	Reg. No. 33,280
Lauer, Deakin T.	Reg. No. 47,892	Skoog, Mark T.	Reg. No. 40,178
Leach III, Thomas J.	Reg. No. P-53,188	Sorge, Keith M.	Reg. No. 50,865
Leonard, Christopher J.	Reg. No. 41,940	Stewart, Alan R.	Reg. No. 47,974
Lewis, George C.	Reg. N . 53,214	Stoll-DeBell, Kirstin L.	Reg. No. 43,164
Liepa, Mara E.	Reg. No. 40,066	Sullivan, Timothy	Reg. No. 47,981
McDonald, Daniel W.	Reg. No. 32,044	Swenson, Erik G.	Reg. No. 45,147
McIntyre, Jr., William F.	Reg. No. 44,921	Tellekson, David K.	Reg. No. 32,314
Mueller, Douglas P.	Reg. No. 30,300	Trembath, Jon R.	Reg. No. 38,344
Nelson, Anna M.	Reg. No. 48,935	Tunheim, Marcia A.	Reg. No. 42,189
Parsons, Nancy J.	Reg. No. 40,364	Underhill, Albert L.	Rcg. No. 27,403
Pauly, Daniel M.	Rcg. No. 40,123	Vidovich, Kristin K.	Reg. No. 41,448
Peterson, Kyle T.	Reg. No. 46.989	Wahl, John R.	Reg. No. 33,044
Phillips, John B.	Reg. No. 37,206	Welter, Paul A.	Reg. No. 20,890
Pino, Mark J.	Reg. No. 43,858	Whitaker, John E.	Reg. No. 42,222
Qualey, Terry	Reg. No. 25,148	Wiegand, Jamie	Reg. No. 52,361
Randall, Joshua N.	Reg. No. 50,719	Wier, David D.	Reg. No. 48,229
Reich, John C.	Reg. No. 37,703	Williams, Douglas J.	Reg. No. 27,054
Reiland, Earl D.	Reg. No. 25,767	Withers, James D.	Reg. No. 40,376
Roath, Paul D.	Reg. No. 45,045	Wong, Bryan A.	Reg. No. 50,836
Schmaltz, David G.	Reg. No. 39,828	Wong, Thomas S.	Reg. No. 48,577
Schuman, Mark D.	Reg. No. 31,197	Xia, Tim Tingkang	Reg. No. 45,242
Schumann, Michael D.	Reg. No. 30,422	Zeuli, Anthony R.	Reg. No. 45,255
Scull. Timothy B.	Reg. No. 42,137	•	

### CHANGE OF ATTORNEY'S/AGENT'S ADDRESS IN APPLICATION

Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903

### STATEMENT UNDER 37 CFR 3.73(b)

Seagate Technology LLC states that it is the Assignee of Entire Interest in the patent application/patent identified above by virtue of an Assignment from the inventor(s) of the patent application/patent identified above. A copy of the Assignment is attached. The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Respectfully submitted.

SEAGATE TECHNOLOGY LLC (Assignce of Entire Interest)

24 July 2003

Jennifer M. Buenzow, Registration No. 80

SEAGATE THEHNOLOGY LLC

Intellectual Property - SHK2LG

4280 Disc Drive Shakopee, MN 55379

(952) 402-3436 (952) 402-2657 - Fax